

# Information Theory and Secure Communications

Øyvind Ytrehus



Finse, April 25, 2022


# Outline

- 1 Overview of talk
- 2 Introduction
- 3 Introduction to Information Theory
- 4 Reliable communication
- 5 Secure communication
- 6 References
- 7 Wise words
- 8 Deniable communication
- 9 Discussion: Questions, caveats, open problems
- 10 Conclusion Single-path communication
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- *Bob*  , an eager listener.

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  - Cryptography
  - Information Theory
  - Other security techniques
  - **Anonymizing networks, Private Information Retrieval**

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- Fraudsters, impostors, active intruders, repudiators also exist...but beyond the scope

# Requirements for secure effective communication (between Alice and Bob)

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- **Better communication channel: Information theory**
  - alternative/addition to cryptography
  - also applied to metadata
  - applied in 5G

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- The *mutual information* is

$$I(X; Y) = I(Y; X) = H(X) - H(X|Y) = H(Y) - H(Y|X). \quad (2)$$

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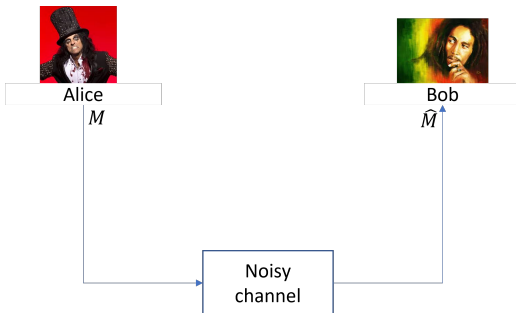
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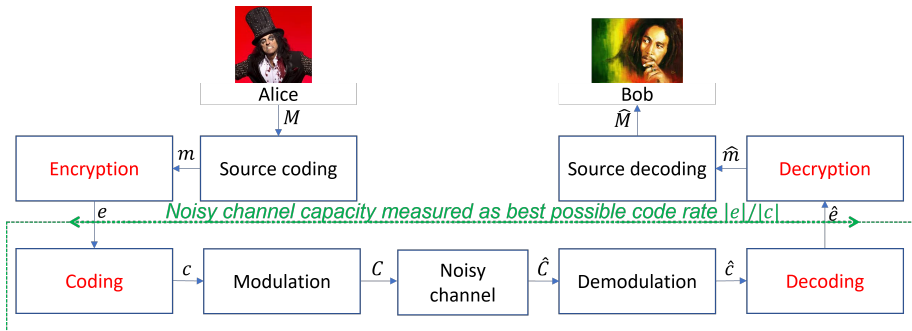
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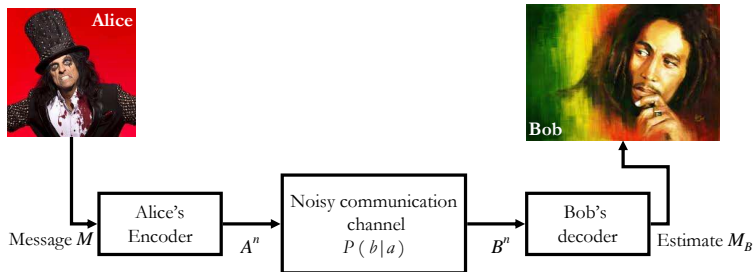
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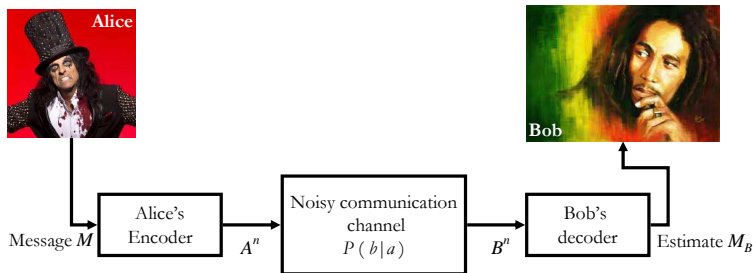
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# Shannon's noisy channel



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$$C_{Shannon} = \max_{p(a)} I(A; B),$$



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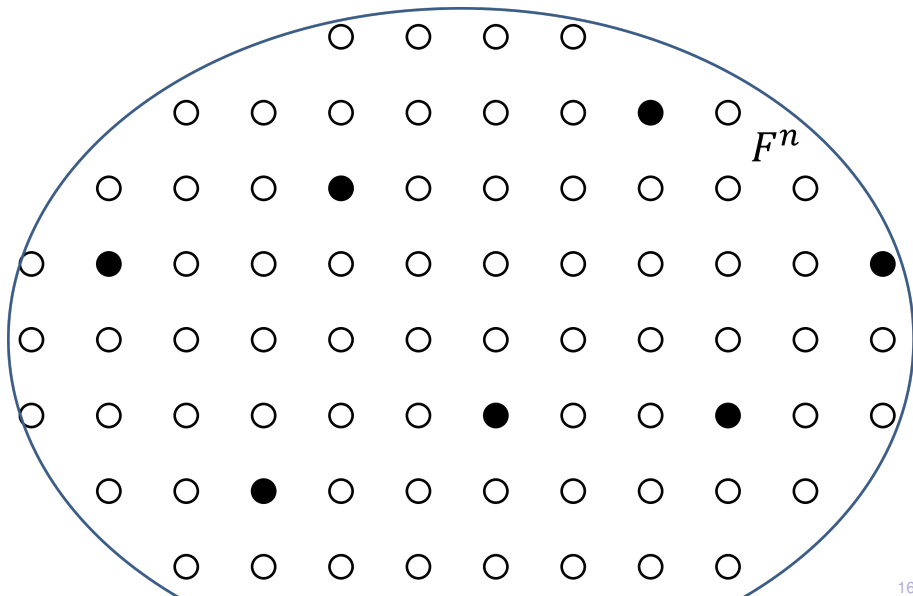
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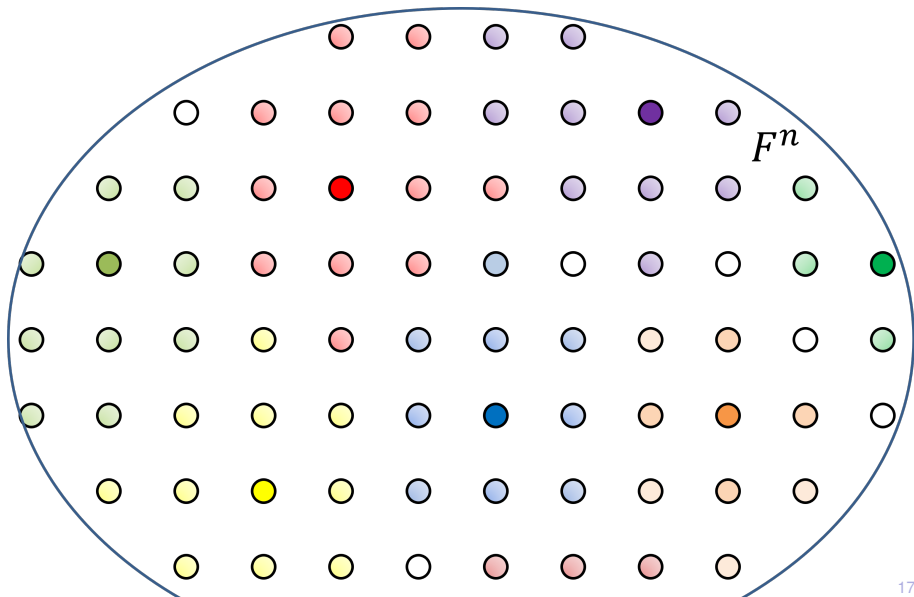
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- Random coding

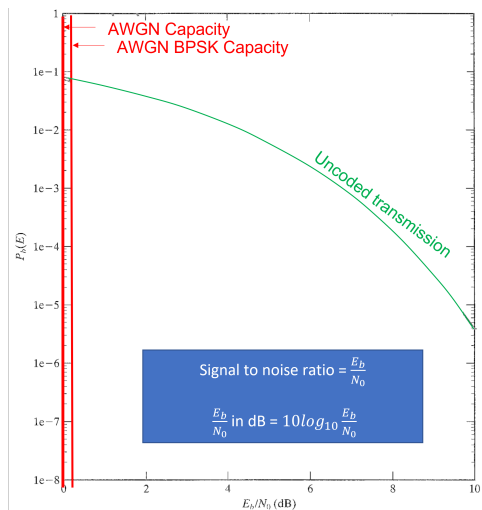
# Error correcting code



Error correcting code partitioning the space  $F^n$ 

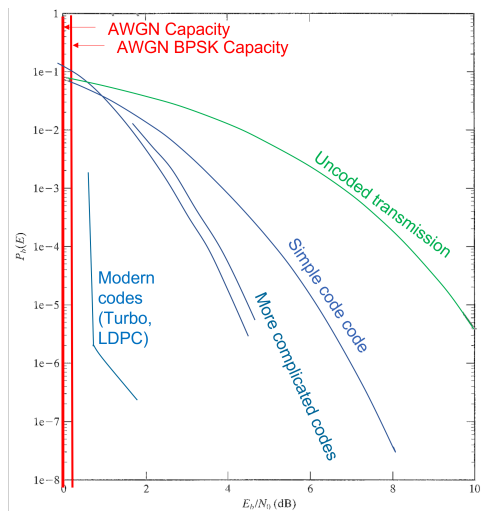
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How?



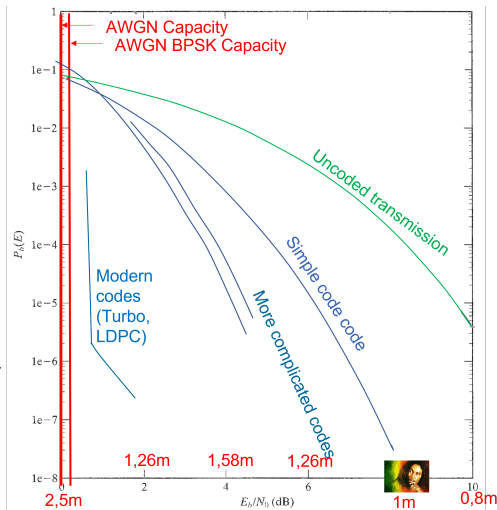
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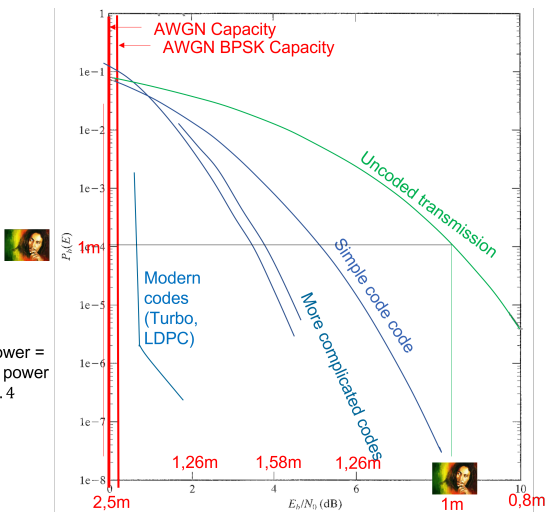
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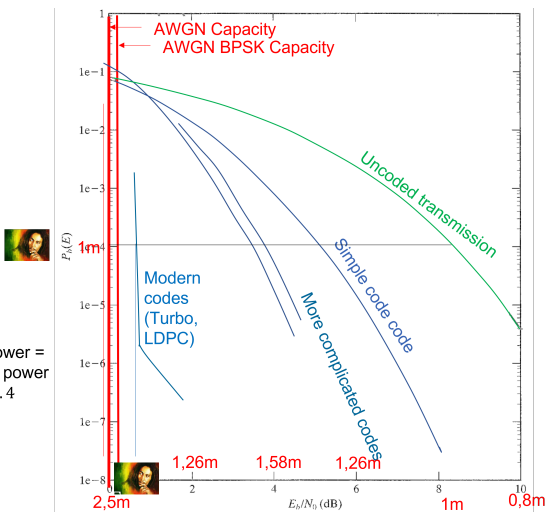


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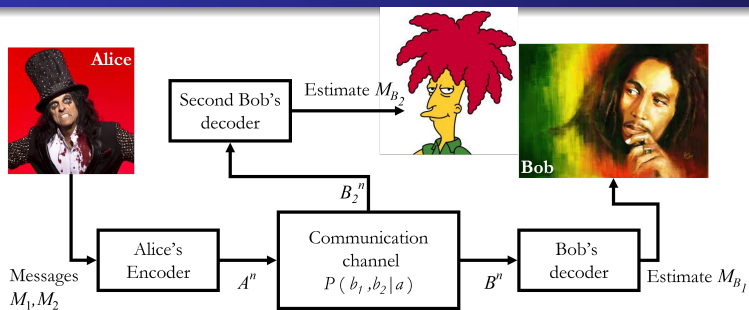
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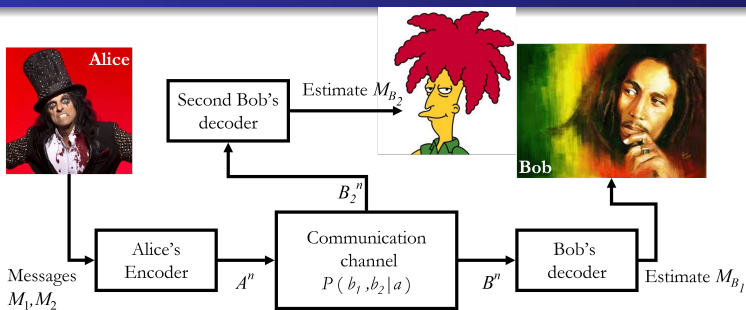
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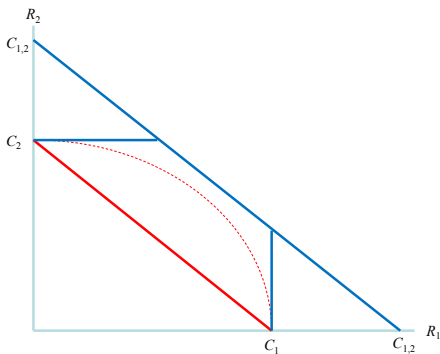
$$R_1 \leq C_1 = \max_{\rho_a} I(A; B_1), \quad R_2 \leq C_2 = \max_{\rho_a} I(A; B_2),$$

$$R_1 + R_2 \leq C_{1,2} = \max_{\rho_a} I(A; B_1, B_2),$$

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# The broadcast channel: Sketch of proof, and example

- Jointly typical sequences

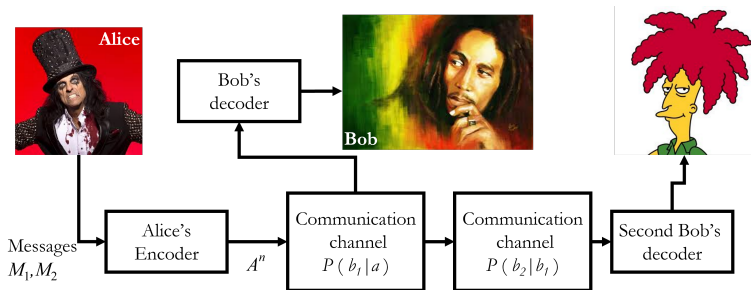
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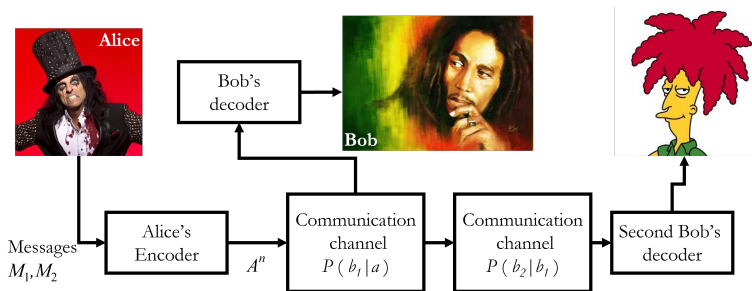
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# The Broadcast Channel, Degraded





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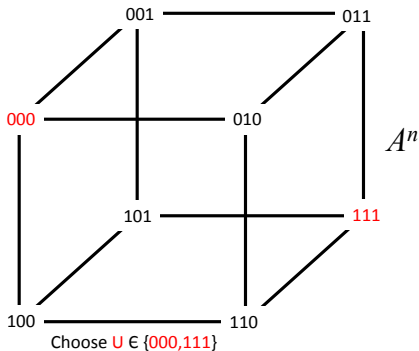
$$R_1 \leq I(A; B_1 | U),$$

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for some pmf  $p(u, a)$  and conditions on  $U$ .

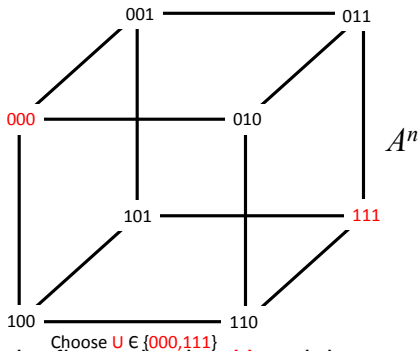
# What does this mean? What is $U$ ?

Assume  $n = 3$  and that Bob<sub>1</sub> has an error free channel, while Bob<sub>2</sub> typically will see at most **one** bit error for each 3 sent.



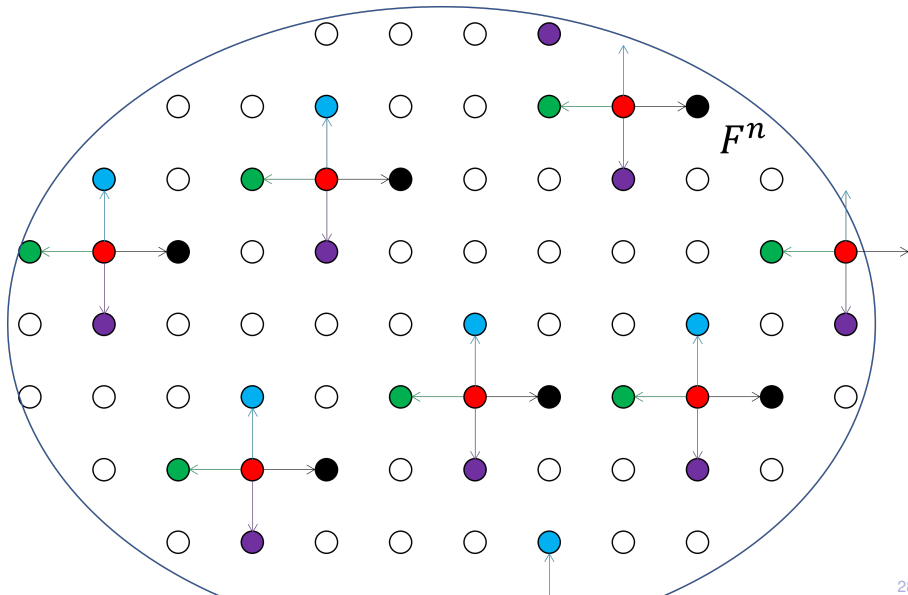
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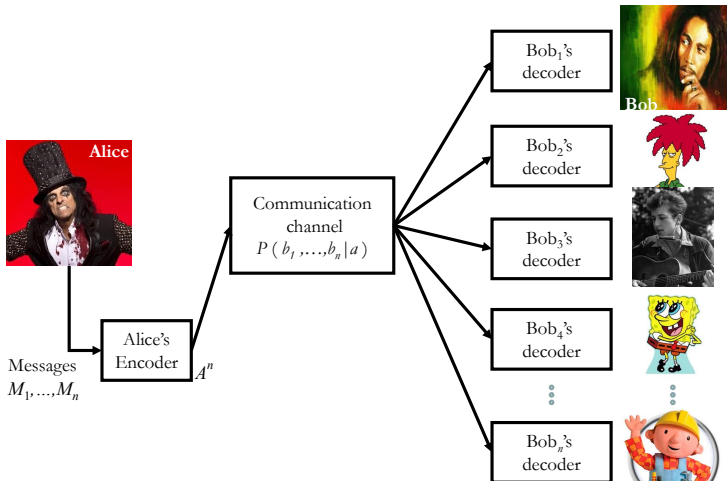


Then send three bits by first selecting  $U$  and then sending  $A$  as  $U$  plus one of the bit patterns  $\{000, 001, 010, 100\}$ .

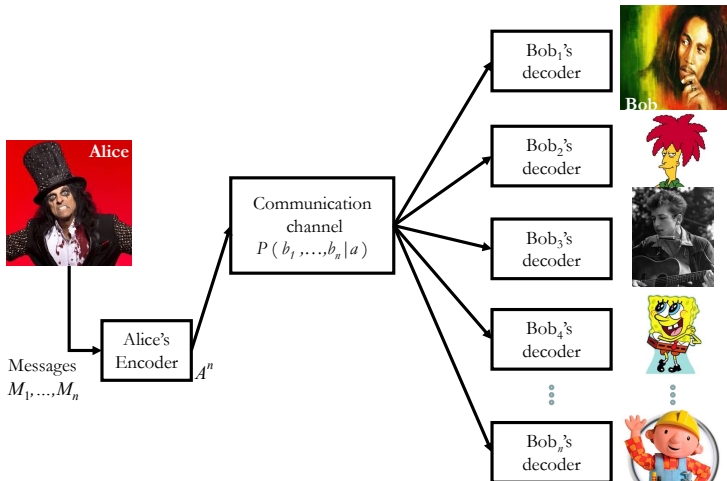
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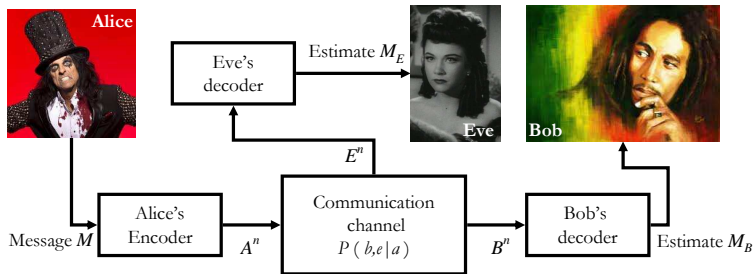
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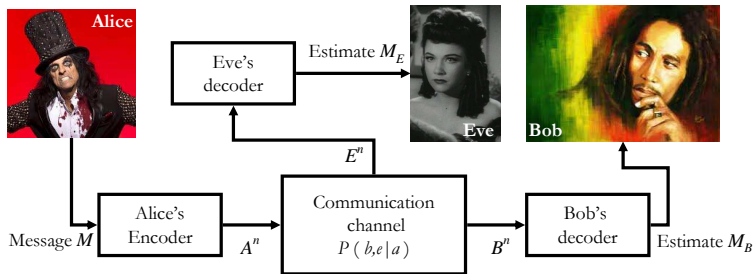
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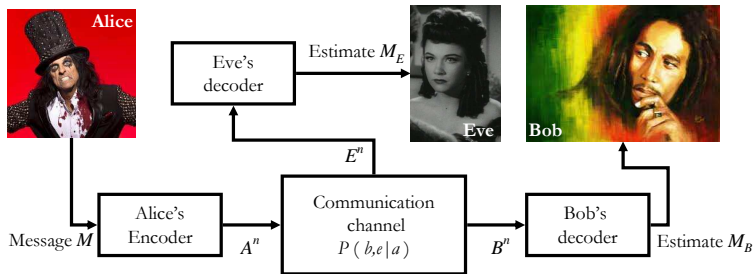


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$$C_{DM-WTC} = \max\{0, \max_{p(u,m)} (I(U; B) - I(U; E))\},$$

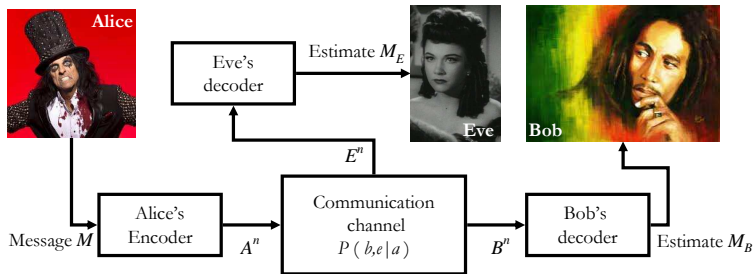
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# The wiretap channel (Type I), Simple case

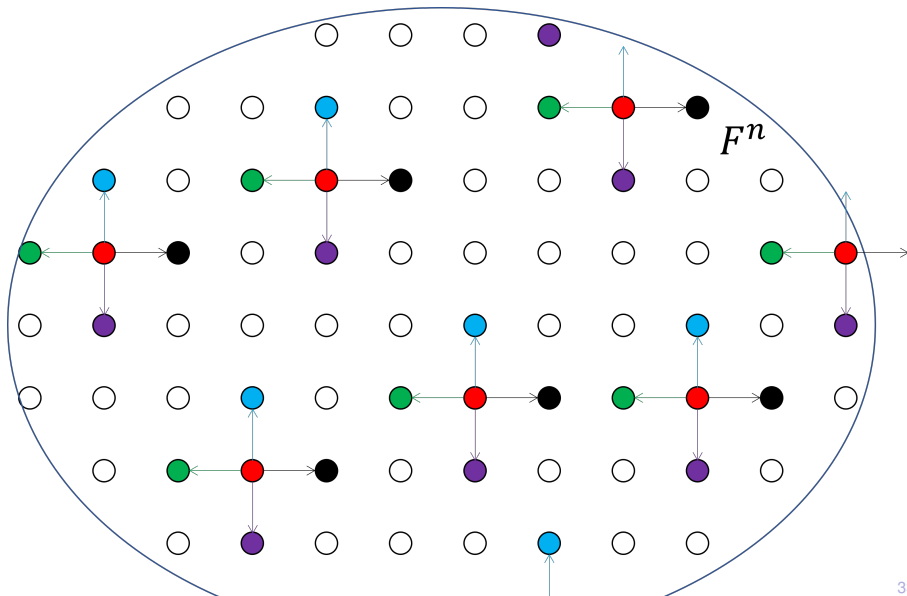
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- select  $[n, k]$  error correcting code according to  $(n - k)/n < C_{DM-WTC}$
- Represent message by a *coset*
- Alice sends  $U = \text{random codeword} + \text{corresponding coset leader}$

## Error correcting code and coset



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Encoded message  $M$  is  $n$ -bit vector, Eve sees noisy  $n$ -bit  $E^n$ ,  $n$  large

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Note:  $I(* : *)$  can be replaced by Renyi information.

Note: Independent of Eve's computational resources.

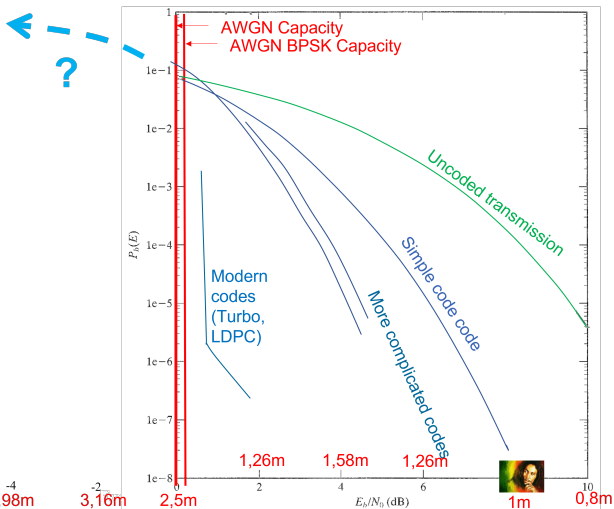
Note: Category of secrecy may depend on code and coding scheme

# Now, the practice...The Type I AWGN channel

- The different roles of Bob and Eve
  - Bob wants a simple and efficient decoding to get the best decoding solution: Bit error rate reasonable metric
  - Eve willing to spend more efforts, maybe try out different option: Mutual information

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How?



-4  
3,98m

-2  
3,16m

2,5m

1,26m

1,58m

1,26m

1m

0,8m



Alice

# Now, the practice...The Type I AWGN channel

- The different roles of Bob and Eve
  - Bob wants a simple and efficient decoding to get the best decoding solution: Bit error rate reasonable metric
  - Eve willing to spend more efforts, maybe try out different option: Mutual information
- How to compute the mutual information (or, equivalently the equivocation)?

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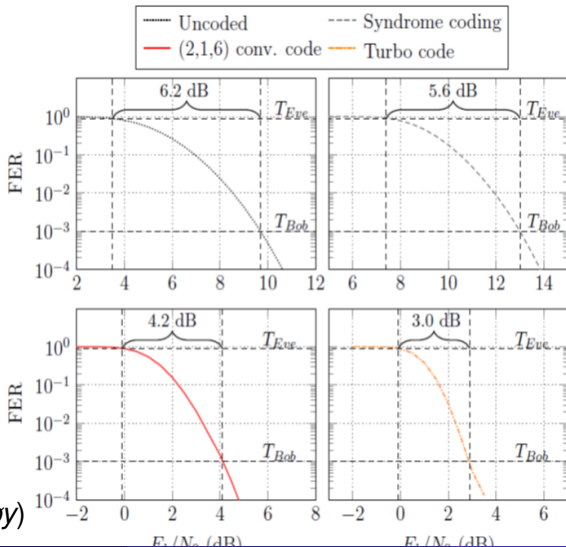
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  - Exact computation: Trellis computation Complexity  $\leq \min\{2^k, 2^{n-k}\}$
  - *Joakim Algrøy, Angela Isabel Barbero and Øyvind Ytrehus, "Determining the Equivocation in Coded Transmission Over a Noisy Channel", accepted for IEEE International Symposium on Information Theory, June 26-July 1, 2022*

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Comparison between syndrome coding and regular communication coding:



(Figure:  
Joakim Algrøy)

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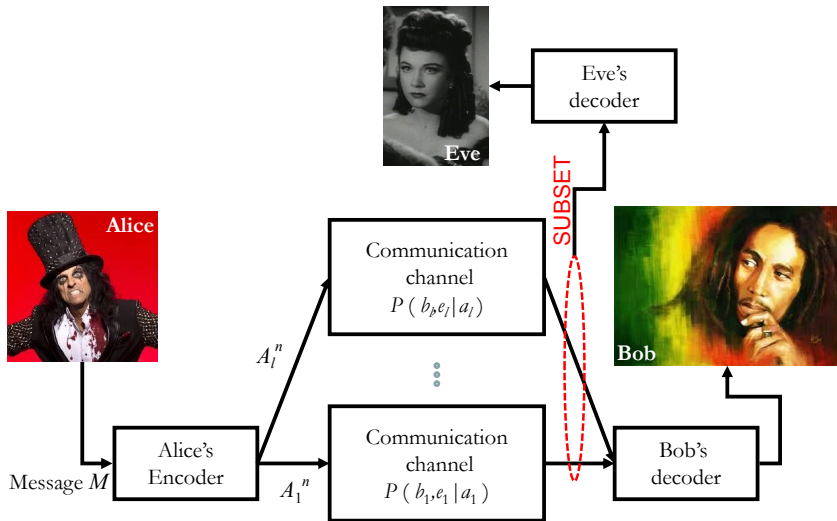
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- Bob's channel is not noiseless
- Alice's message is not infinitely long
- How to deal with the tradeoff between secrecy, and power efficiency for Alice?

That is, with a fixed redundancy  $r$ , devote  $r_B$  to help Bob and  $r_E = r - r_B$  to confuse Eve. What is the optimum  $r_B$ ?

# The wiretap channel (Type II)





# The wiretap channel (Type II)









How to encode?

- Choose a code with large generalized Hamming weights in the dual code
- Represent message by a syndrome vector
- Alice sends random codeword + corresponding coset leader

# Outline

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- 4 Reliable communication
- 5 Secure communication
- 6 References**
- 7 Wise words
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# References

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“... as we know, there are known knowns; there are things we know we know. We also know there are known unknowns; that is to say we know there are some things we do not know. But there are also unknown unknowns - the ones we don't know we don't know. ...”- *D.Rumsfeld*

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# Covert, deniable, subliminal, invisible, undetectable communication

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- Suppose Alice purports to send a message to Bob from the set  $\{Alice, Bob, Marilyn\}$ , representing the message as a picture. Let

$$Alice = \left\{ \begin{array}{c} \text{Elton John} \\ \text{Alice in Wonderland} \end{array} \right\},$$

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- It follows that Alice may send one bit to Bob by selecting a pre-agreed image for each of the three possible cover messages.

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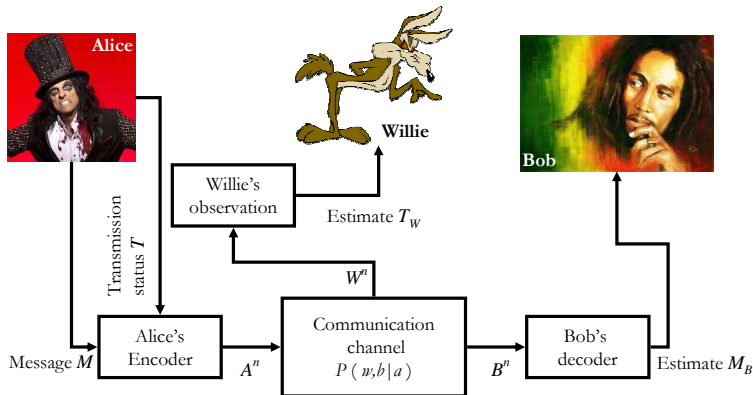
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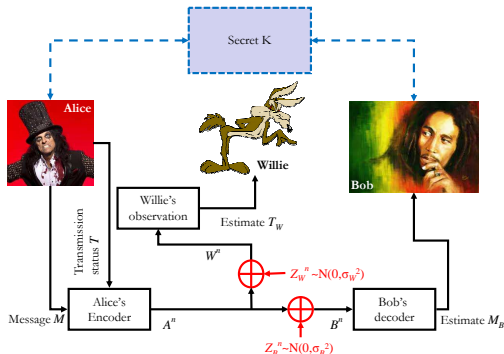
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  - Can be blocked by zero-knowledge proofs etc, but still allows 1-bit subliminal channel (Desmedt)

# Reliable deniable channels



# Reliable deniable **AWGN** channels with randomness common to Alice and Bob



$A^n$ ,  $B^n$ , and  $W^n$  are real-valued  $n$ -dimensional vectors, and  $Z_B^n$  and  $Z_W^n$  are  $n$ -dimensional AWGN noise vectors. Alice and Bob need to share a secret key.

# A reminder of complexity notation

- $f(n) = \mathcal{O}(g(n))$  if there exist constants  $m, n_0 > 0$  such that  $0 \leq f(n) \leq mg(n)$  for all  $n \geq n_0$ . This means that “ $f(n)$  grows roughly at the same rate as  $g(n)$ ”.

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- $f(n) = o(g(n))$  if, for *any* constant  $m > 0$  there exists a constant  $n_0 > 0$  such that  $0 \leq f(n) < mg(n)$  for all  $n \geq n_0$ . This means that “ $f(n)$  grows slower than  $g(n)$ ”.

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- $f(n) = \omega(g(n))$  if, for any constant  $m > 0$  there exists a constant  $n_0 > 0$  such that  $0 \leq mg(n) < f(n)$  for all  $n \geq n_0$ . This means that “ $f(n)$  grows faster than  $g(n)$ ”.

# Reliable deniable AWGN channels with randomness common to Alice and Bob: Results

- 1 For any  $\varepsilon > 0$  and *unknown*  $\sigma_W^2$ , Alice can reliably transmit  $o(\sqrt{n})$  information bits to Bob in  $n$  channel uses while lower-bounding Willie's sum of the probabilities of detection errors  $\alpha + \beta \geq 1 - \varepsilon$ .

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- 3 Conversely, if Alice attempts to transmit  $\omega(\sqrt{n})$  bits in  $n$  channel uses, then, as  $n \rightarrow \infty$ , *either*  $\alpha + \beta$  is arbitrarily close to zero *or* the communication to Bob is not reliable, regardless of the length of the shared secret.

# Reliable deniable AWGN channels with randomness common to Alice and Bob: Interpretation

- 1 The capacity  $\lim_{n \rightarrow \infty} \frac{\mathcal{O}(\sqrt{n})}{n} = 0$ . But for finite codeword lengths  $n$ , a substantial amount  $\mathcal{O}(\sqrt{n})$  of information may be reliably transmitted with low probability of detection.

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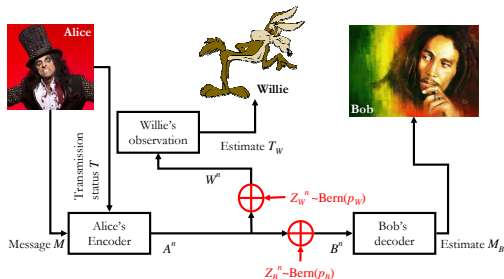
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# Reliable deniable BSC channels without randomness common to Alice and Bob



The binary symmetric subliminal channel. Here  $A^n$ ,  $B^n$ , and  $W^n$  are binary  $n$ -dimensional vectors, and  $Z_B^n$  and  $Z_W^n$  are binary  $n$ -dimensional noise vectors in which elements are generated independently according to their respective Bernoulli distributions.

# Reliable deniable BSC channels without randomness common to Alice and Bob: Results

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- 3 *Reliability and deniability: lower bound on code rate.* If Bob's channel is *sufficiently much better* than Willie's, then there exist (random) codes that can convey to Bob  $\mathcal{O}(\sqrt{n})$  information bits per  $n$  channel uses. If Bob's channel is noiseless, there exist (random) codes that can convey to Bob  $\mathcal{O}(\sqrt{n}) \log n$  information bits per  $n$  channel uses.

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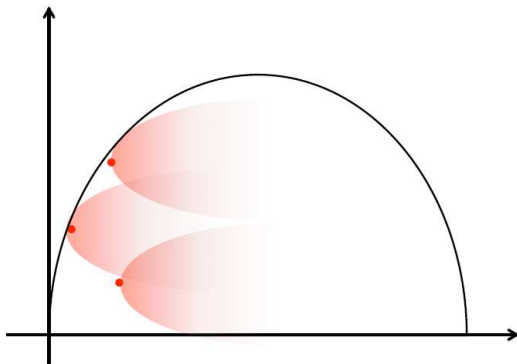
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- 3 Bob faces a (modified) BSC decoding problem. When  $T = 0$ , such decoding will be unsuccessful with overwhelming probability. Thus the channel will not produce “false information” to Bob. When  $T = 1$ , such decoding will be successful with overwhelming probability, provided that the code is appropriately selected.

# Reliable deniable BSC channels without randomness common to Alice and Bob: Bob's decoder





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In this case Willie typically will have a better SNR than Bob.
- *Implementation in practice?* Random coding is merely a theoretical tool and has no practical usage. What *practical coding schemes* can be used?  
AWGN: possible to use a normal LDPC code?  
Noisy BSC subliminal channel: Need constant (low) weight codes; nonlinear.

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- From Willie's perspective, the assumption of knowing the code agreed between Alice and Bob is a best-case scenario. Reasonable approach in cryptanalysis, maybe less so in the context of deniable channels?

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- From Willie's perspective, the assumption of knowing the code agreed between Alice and Bob is a best-case scenario. Reasonable approach in cryptanalysis, maybe less so in the context of deniable channels?
- For the previous issue, will a compressed sensing approach be sensible for Willie? That is, can we observe communication knowing that a code is used, but not which code is used?



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- “ $\mathcal{O}(\sqrt{n})$  information bits per  $n$  channel uses”  $\Rightarrow$  asymptotic code rate zero. Normally, throughput improves as  $n \rightarrow \infty$ . Here, is there an optimum value of  $n$ ?
- The concepts of *detectability* and *provability* are related, but they are not equivalent. Does this distinction matter?
- Some practical research problems: study typical emanating channels, or study theoretical channel models that may be forced into practice by a malware agent.

# Other issues

- In an AWGN channel where Bob has a better channel than Willie, do Alice and Bob need common randomness?
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- 2 Introduction
- 3 Introduction to Information Theory
- 4 Reliable communication
- 5 Secure communication
- 6 References
- 7 Wise words
- 8 Deniable communication
- 9 Discussion: Questions, caveats, open problems
- 10 Conclusion Single-path communication**
- 11 References

# Conclusion, Single-path communication

A covert entity Alice may use a communication channel to pass information to an accomplice Bob in a way that cannot be detected by a warden Willie.

- ① undetectable low rate information transfer is feasible, but there remain serious challenges for Alice and Bob, having to do with implementation, with the set of parameters, and with the set of assumptions.



# Conclusion, Single-path communication







A covert entity Alice may use a communication channel to pass information to an accomplice Bob in a way that cannot be detected by a warden Willie.

- 1 undetectable low rate information transfer is feasible, but there remain serious challenges for Alice and Bob, having to do with implementation, with the set of parameters, and with the set of assumptions.
- 2 For the warden Willie, there exist realistic scenarios that are worse than those assumed in the literature, and this creates extra problems.

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