# On the existence of bases in the orbit of unitary group representations

Ulrik Enstad Online workshop: C\*-algebras and geometry of groups and semigroups 31st March 2021

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Intuition: For π(Γ)η to "span" H<sub>π</sub>, Γ must be "sufficiently dense", and for π(Γ)η to be "linearly independent", Γ must be "sufficiently sparse".

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• Orthonormality:

$$orall (c_j)_j \in \ell^2(J): \quad \Big\| \sum_{j \in J} c_j e_j \Big\|^2 = \| (c_j)_j \|_2^2.$$

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•  $(e_j)_j$  is a *Riesz basis* if it is both a frame and a Riesz sequence.

1. Let  $G = \mathbb{R}^{2d} \cong \mathbb{R}^d \times \mathbb{R}^d$  and let  $\pi \colon \mathbb{R}^{2d} \to \mathcal{U}(L^2(\mathbb{R}^d))$  be the Heisenberg representation given by

 $\pi(x,\omega)\xi(t) = e^{2\pi i\omega \cdot t}\xi(t-x) \text{ for } (x,\omega) \in \mathbb{R}^{2d} \text{ and } \xi \in L^2(\mathbb{R}^d).$ 

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2.  $\pi$  is a  $\sigma$ -projective representation:

$$\pi(x,\omega)\pi(x',\omega')=e^{-2\pi i x \cdot \omega'}\pi(x+x',\omega+\omega'),$$

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3. In this context, families  $(\pi(\gamma)\eta)_{\gamma\in\Gamma}$  for  $\eta\in L^2(\mathbb{R}^d)$  and a discrete subset  $\Gamma\subseteq\mathbb{R}^{2d}$  are known as Gabor systems, and have been extensively studied in Gabor analysis.

1. Let  $G = A \times \widehat{A}$  for a locally compact abelian group A and let  $\pi: G \to \mathcal{U}(L^2(A))$  be the Heisenberg representation given by

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For the rest of the talk, we assume that G is a unimodular, second countable, locally compact group, and that π is a σ-projective, irreducible, unitary representation of G which is square-integrable, i.e., there exist nonzero ξ, η ∈ H<sub>π</sub> such that

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• Under these assumptions, the following orthogonality relations hold for all  $\xi, \xi', \eta, \eta' \in \mathcal{H}_{\pi}$ :

$$\int_{\mathcal{G}} \langle \xi, \pi(x) \eta \rangle \overline{\langle \xi', \pi(x) \eta' \rangle} \, \mathsf{d} x = d_\pi^{-1} \langle \xi, \xi' \rangle \overline{\langle \eta, \eta' \rangle}.$$

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 The number d<sub>π</sub> is called the formal dimension of π and depends on the choice of Haar measure on G.

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With  $\pi$  a  $\sigma$ -projective unitary representation of G as before, let  $\Gamma$  be a lattice in G. Then the following hold for  $\eta \in \mathcal{H}_{\pi}$ :

- 1. If  $\pi(\Gamma)\eta$  is a frame for  $\mathcal{H}_{\pi}$ , then  $d_{\pi} \operatorname{vol}(G/\Gamma) \leq 1$ .
- 2. If  $\pi(\Gamma)\eta$  is a Riesz sequence for  $\mathcal{H}_{\pi}$ , then  $d_{\pi} \operatorname{vol}(G/\Gamma) \geq 1$ .
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  - The density theorem has a long history for the Heisenberg representation of ℝ<sup>2d</sup>.

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#### Romero–Van Velthoven (2020)

If  $(\Gamma, \sigma)$  satisfies Kleppner's condition, there exists  $\eta \in \mathcal{H}_{\pi}$  such that  $\pi(\Gamma)\eta$  is a frame if and only if  $d_{\pi} \operatorname{vol}(G/\Gamma) \leq 1$ . Analagous statements hold for Riesz sequences and Riesz bases.

## Characterization of existence

## Theorem (Bekka, 2004)

Let G be a unimodular, second countable, locally compact group and let  $\pi$  be a square-integrable, irreducible, unitary representation of G. Let  $\Gamma$  be a lattice in G. Let  $\eta \in \mathcal{H}_{\pi}$  be a unit vector. Define a function  $\phi \in \ell^{\infty}(\Gamma)$  by

$$\phi(\gamma) = \frac{d_{\pi}}{|C_{\gamma}|} \int_{G/\Gamma_{\gamma}} \langle \eta, \pi(y^{-1}\gamma y)\eta \rangle \, \mathrm{d}(y\Gamma_{\gamma})$$

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### Theorem (E.)

Let G be a unimodular, second countable, locally compact group with 2-cocycle  $\sigma$  and let  $\pi$  be a  $\sigma$ -projective, square-integrable, irreducible, unitary representation of G. Let  $\Gamma$  be a lattice in G. Let  $\eta \in \mathcal{H}_{\pi}$  be a unit vector. Define a function  $\phi \in \ell^{\infty}(\Gamma)$  by

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then the condition  $d_{\pi} \operatorname{vol}(G/\Gamma) \leq 1$  (resp.  $d_{\pi} \operatorname{vol}(G/\Gamma) \geq 1$ ) is sufficient for the existence of a frame (resp. Riesz sequence)  $\pi(\Gamma)\eta$  for some  $\eta \in \mathcal{H}_{\pi}$ .

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• If  $(\Gamma, \sigma)$  satisfies Kleppner's condition, then  $\phi = d_{\pi} \operatorname{vol}(G/\Gamma)\delta_e$ .

#### Corollary (E.)

If G is abelian and  $(G, \sigma)$  satisfies Kleppner's condition, then  $\phi = d_{\pi} \operatorname{vol}(G/\Gamma) \delta_e.$ 

# Twisted group von Neumann algebras

1. Let  $\Gamma$  be a discrete group with 2-cocycle  $\sigma$ . The  $\sigma$ -twisted left regular representation  $\lambda_{\sigma}$  of  $\Gamma$  on  $\ell^2(\Gamma)$  is given by

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The action of Γ on H<sub>π</sub> via π|<sub>Γ</sub> extends to give H<sub>π</sub> the structure of a left Hilbert L(Γ, σ)-module.

• If  $\pi(\Gamma)\eta$  is a frame, then the operator  $C \colon \mathcal{H}_{\pi} \to \ell^{2}(\Gamma)$  given by  $C\xi = (\langle \xi, \pi(\gamma)\eta \rangle)_{\gamma \in \Gamma}$ 

is bounded and injective, with closed range. It also intertwines  $\pi|_{\Gamma}$  and  $\lambda_{\sigma}|_{\Gamma}.$ 

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 Conversely, if C: H<sub>π</sub> → ℓ<sup>2</sup>(Γ) is an isometry that intertwines π|<sub>Γ</sub> and λ<sub>σ</sub>|<sub>Γ</sub>, then π(Γ)η is a frame, where η = Pδ<sub>e</sub> (P projection of ℓ<sup>2</sup>(Γ) onto H<sub>π</sub>).

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- Conclusion: There exists a frame π(Γ)η for some η ∈ H<sub>π</sub> if and only if H<sub>π</sub> is a subrepresentation of ℓ<sup>2</sup>(Γ). This extends to an inclusion of Hilbert L(Γ, σ)-modules: H<sub>π</sub> ≤ ℓ<sup>2</sup>(Γ).

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- Conclusion: There exists a frame π(Γ)η for some η ∈ H<sub>π</sub> if and only if H<sub>π</sub> is a subrepresentation of ℓ<sup>2</sup>(Γ). This extends to an inclusion of Hilbert L(Γ, σ)-modules: H<sub>π</sub> ≤ ℓ<sup>2</sup>(Γ).
- Hilbert modules over finite von Neumann algebras are entirely determined by their center-valued dimension: H<sub>π</sub> ≤ ℓ<sup>2</sup>(Γ) if and only if cdim H<sub>π</sub> ≤ cdim ℓ<sup>2</sup>(Γ) = I.

# Additional regularity of $\eta$

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 What can we say about existence of a frame of the form π(Γ)η for some η ∈ H<sup>1</sup><sub>π</sub>?

• Let  $\pi$  be the Heisenberg representation of  $G = \mathbb{R}^{2d}$ .

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• Generalizes to locally compact abelian groups A with noncompact identity component (E., Jakobsen, Luef, Omland).

# Converses to the Balian–Low Theorem

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Let  $\Gamma = M\mathbb{Z}^{2d}$  be a lattice in  $\mathbb{R}^{2d}$  for  $M \in GL_{2d}(\mathbb{R})$  such that the matrix  $M^t JM$  contains at least one irrational entry. Here J denotes the standard symplectic  $2n \times 2n$  matrix. Then the following hold:

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  - Frames π(Γ)η with η ∈ H<sup>1</sup><sub>π</sub> can be interpreted as single generators of Rieffel's Heisenberg module over C\*(Γ, σ) ≅ A<sub>Θ</sub>, Θ = M<sup>t</sup>JM.

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• If  $\Gamma$  is a lattice in G, then  $D^{-}(\Gamma) = D^{+}(\Gamma) = 1/\operatorname{vol}(G/\Gamma)$ .

### Density and Balian–Low Theorems for irregular point sets

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#### Theorem (Führ–Gröchenig–Haimi–Klotz–Romero 2017)

Let G be a compactly generated, locally compact group with polynomial growth, and let  $\pi$  be a square-integrable, irreducible, unitary representation of G. Let  $\Gamma \subseteq G$  be discrete and  $\eta \in \mathcal{H}_{\pi}$ . Then:

- 1. If  $\pi(\Gamma)\eta$  is a frame for  $\mathcal{H}_{\pi}$ , then  $D^{-}(\Gamma) \geq d_{\pi}$ .
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# Theorem (Gröchenig–Romero–Van Velthoven 2019)

Let G be a homogeneous Lie group and let  $\pi$  be a square-integrable, irreducible, unitary representation of G. Let  $\Gamma \subseteq G$  be discrete and  $\eta \in \mathcal{H}^1_{\pi}$ . Then:

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