

Fermat, Taniyama–Shimura–Weil and Andrew Wiles, Part I

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The Norwegian Academy of Science and Letters has decided to award the Abel Prize for 2016 to **Sir Andrew J. Wiles**,
University of Oxford

**for his stunning proof of Fermat's Last Theorem
by way of the modularity conjecture for semistable
elliptic curves, opening a new era in number
theory.**



Sir Andrew J. Wiles

Sketch proof of Fermat's Last Theorem:

- ▶ Frey (1984): A solution

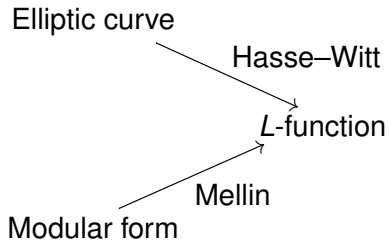
$$a^p + b^p = c^p$$

to Fermat's equation gives an elliptic curve

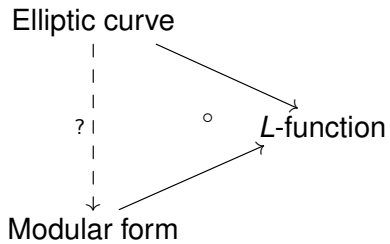
$$y^2 = x(x - a^p)(x + b^p).$$

- ▶ Ribet (1986): The Frey curve does not come from a modular form.
- ▶ Wiles (1994): Every elliptic curve comes from a modular form.
- ▶ Hence no solution to Fermat's equation exists.

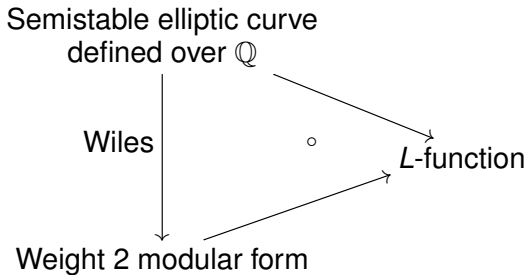
Point counts and Fourier expansions:



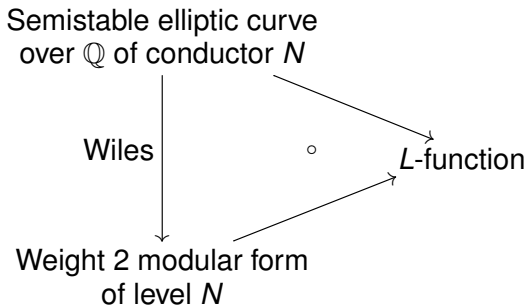
Modularity:



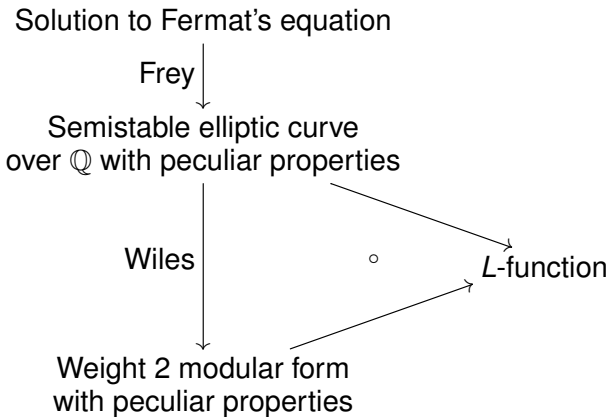
Wiles' Modularity Theorem:



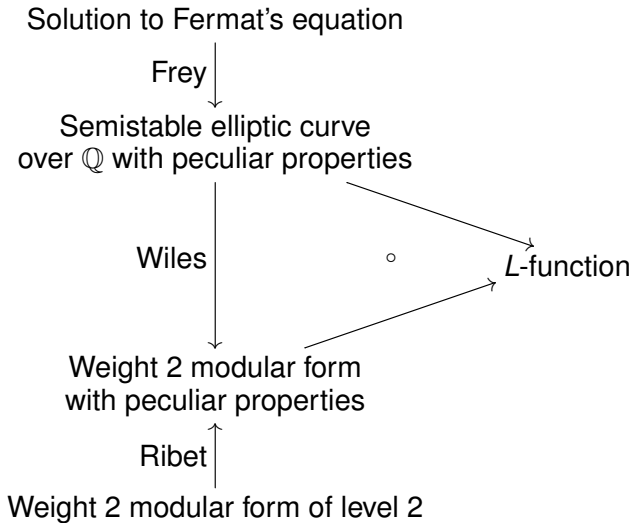
Wiles' Modularity Theorem:



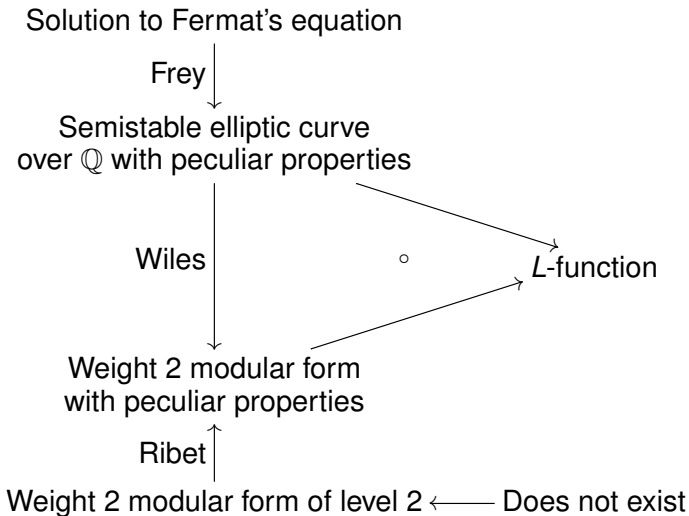
Frey Curve (and a special case of Wiles' theorem):



(A special case of) Ribet's theorem:



Contradiction:





Blaise Pascal (1623–1662)

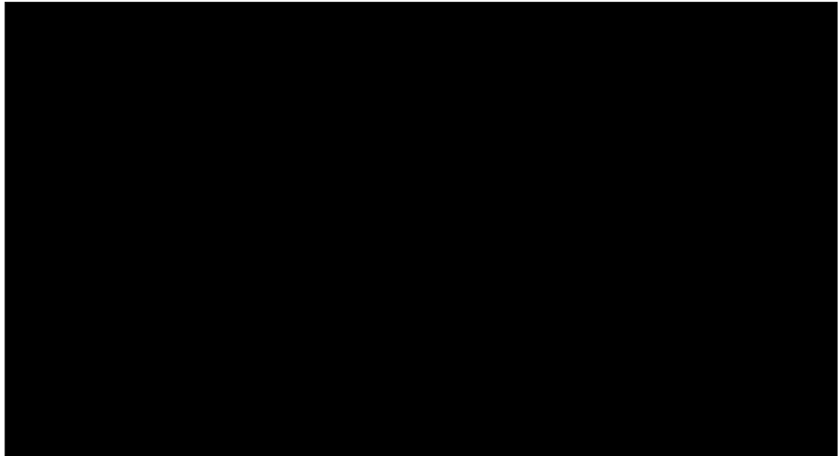
*Je n'ai fait celle-ci plus longue que parce
que je n'ai pas eu le loisir de la faire plus courte.*

Blaise Pascal, Provincial Letters (1656)

*(I would have written a shorter letter,
but I did not have the time.)*

Perhaps I could best describe my experience of doing mathematics in terms of entering a dark mansion. You go into the first room and it's dark, completely dark. You stumble around, bumping into the furniture. Gradually, you learn where each piece of furniture is. And finally, after six months or so, you find the light switch and turn it on. Suddenly, it's all illuminated and you can see exactly where you were. Then you enter the next dark room . . .

Andrew Wiles (ca. 1994)



Fermat's equation



Johann Wolfgang von Goethe (by J. H. Tischbein)

*Wer nicht von dreitausend Jahren
sich weiß Rechenschaft zu geben,
bleib im Dunkeln unerfahren,
mag von Tag zu Tage leben.*

Goethe, West-östlicher Divan (1819)

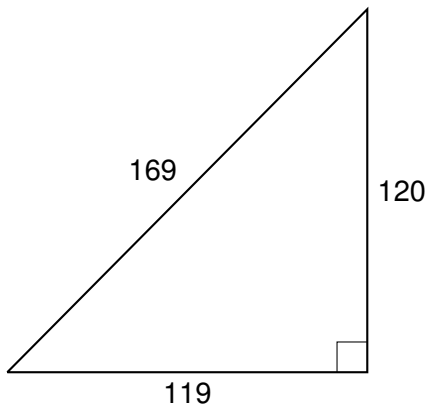
*Den som ikke kan føre sitt regnskap
over tre tusen år,
lever bare fra hånd til munn.*

Norsk oversettelse: Jostein Gaarder (1991)



Plimpton 322 (from Babylon, ca. 1800 BC)

$$119^2 + 120^2 = 169^2$$



The first entry

Integers a, b, c with

$$a^2 + b^2 = c^2$$

are called **Pythagorean triples**.

(May assume a, b, c relatively prime, and a odd.)

Theorem (Euclid)

Each such triple appears in the form

$$a = p^2 - q^2 \quad b = 2pq \quad c = p^2 + q^2$$

for integers p, q .

Geometric proof:

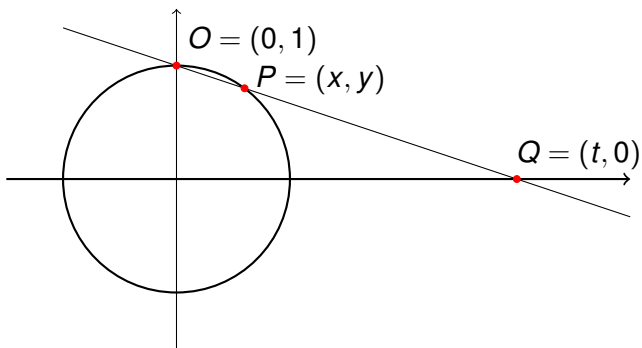
Each Pythagorean triple a, b, c corresponds to a pair

$$x = \frac{a}{c} \quad y = \frac{b}{c}$$

of rational numbers x, y with

$$x^2 + y^2 = 1.$$

So (x, y) is a rational point on the unit circle.



Rational parametrization of the circle

$$t = \frac{y}{1-x} \quad \text{vs.} \quad x = \frac{t^2 - 1}{t^2 + 1} \quad y = \frac{2t}{t^2 + 1}$$

Each rational point $(t, 0)$ on the line, with

$$t = \frac{p}{q}$$

gives a rational point (x, y) on the circle, with

$$x = \frac{p^2 - q^2}{p^2 + q^2} \quad y = \frac{2pq}{p^2 + q^2}$$

and a Pythagorean triple a, b, c , with

$$a = p^2 - q^2 \quad b = 2pq \quad c = p^2 + q^2 .$$

Algebraic proof:

$$a^2 = c^2 - b^2 = (c + b)(c - b)$$

is a square, so by unique factorization

$$c + b = d^2 \quad c - b = e^2$$

are squares. Therefore

$$c = \frac{d^2 + e^2}{2} = p^2 + q^2 \quad b = \frac{d^2 - e^2}{2} = 2pq$$

with

$$p = (d + e)/2 \quad q = (d - e)/2.$$



Pierre de Fermat (by Roland Le Fevre)

QVÆSTIO VIII.

PROPOSITVM quadrarum diuidere in duos quadratos. Imperatum sit vt 16. diuidatur in duos quadratos. Ponatur primus 1 Q. Oportet igitur $16 - 1 Q.$ æquales esse quadrato. Fingo quadratum a numeris quotquot libuerit, cum defectu tot unitatum quod continet latus ipsius 16. esto a 2 N. - 4. ipse igitur quadratus erit 4 Q. + 16. - 16 N. hæc æquabuntur unitatibus 16 - 1 Q. Communis adiiciatur vtrimque defectus, & a similibus auferantur similia, fient 5 Q. æquales 16 N. & fit 1 N. ⁴ Erit igitur alter quadratorum $\frac{16}{5}$. alter verò $\frac{144}{25}$ & vtriusque summa est $\frac{44}{25}$ seu 16. & vterque quadratus est.

ὁ εἰκοσὸπέμπτερον, ἢ πρὶ μονάδας 15. καὶ ἔστιν ἑκάτερως τετραγώνου.

TON ὀπταβέντα τετραγώνον διελὲν εἰς δύο τετραγώνους. ἐπιτετάρθω δὴ τὸ 15 διελὲν εἰς δύο τετραγώνους. καὶ τετάρθω ὁ κερσῶτα δυνάμεις μίας. δέσει ἄρα μονάδας 15 λείπει δυνάμεις μίας ἴσους τῷ τετραγώνῳ. πλάσσω τὸ τετράγωνον διὰ 5. ὅσων δὴ ποτε λείπει τοσούτων μὲ ὅταν ἔσῃ ἢ τὸ 15 μὲ πλῆρες. ἔσω 5 β λείπει μὲ δ. αὐτὸς ἄρα ὁ τετράγωνος ἔσται δυνάμειν δ' μὲ 15, λείπει 5 15. ταῦτα ἴσα μονάσι 15 λείπει δυνάμεις μίας. κοινὴ κερσκέειω ἢ λείπει, καὶ διὰ ὁμοίαν ὁμοία. δυνάμεις ἄρα ἔσται ἀριθμοῖς 15. καὶ γίνεται ὁ ἀριθμὸς 15. πέμπτερον. ἔσται ὁ μὲν σπένδεκοσὸπέμπτερον. ὁ δὲ μὲ εἰκοσὸπέμπτερον. © οἱ δύο συμπλήρεις ποιῶσι

OBSERVATIO DOMINI PETRI DE FERMAT.

Cubum autem in duos cubos, aut quadratoquadratum in duos quadratoquadratos & generaliter nullam in infinitum ultra quadratum potestatem in duos eiusdem nominis fas est diuidere cuius rei demonstrationem mirabilem sane detexi. Hanc marginis exiguitas non caperet.

... cuius rei demonstrationem mirabilem sane detexi

Fermat's claim: The equation

$$a^n + b^n = c^n$$

has no solutions in positive integers for $n > 2$.

Proof?

If $n = pm$ we can rewrite the equation as

$$(a^m)^p + (b^m)^p = (c^m)^p$$

so it suffices to verify the claim

- ▶ for $n = 4$ (done by Fermat), and
- ▶ for $n = p$ any odd prime.

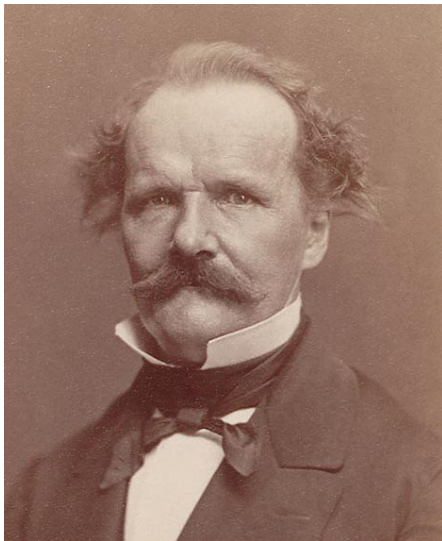


Sophie Germain (1776–1831)

Theorem (Germain (pre-1823))

Let p be an odd prime. If there exists an auxiliary prime q such that $x^p + 1 \equiv y^p \pmod{q}$ has no nonzero solutions, and $x^p \equiv p \pmod{q}$ has no solution, then if $a^p + b^p = c^p$ then p^2 must divide a , b or c .

- ▶ Any such auxiliary prime q will satisfy $q \equiv 1 \pmod{p}$.
- ▶ If $q = 2p + 1$ is a prime, then both hypotheses are satisfied.
- ▶ Showing that $p \mid abc$ is called the First Case of Fermat's Last Theorem.



Ernst Kummer (1810–1893)

Suppose

$$a^p + b^p = c^p.$$

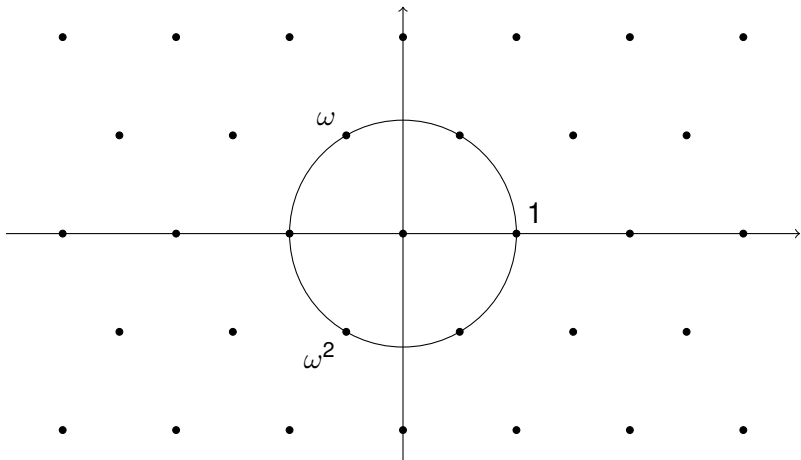
Using $\omega = \exp(2\pi i/p) = \cos(2\pi/p) + i \sin(2\pi/p)$ we can factorize

$$a^p = c^p - b^p = (c - b)(c - \omega b) \cdots (c - \omega^{p-1} b).$$

If unique factorization holds in $\mathbb{Z}[\omega]$, then each factor

$$(c - b), (c - \omega b), \dots, (c - \omega^{p-1} b)$$

must be an p -th power. Therefore ...



The number system $\mathbb{Z}[\omega]$ for $p = 3$

Kummer carried this strategy through to prove Fermat's claim for all **regular** primes p . (The only irregular primes less than 100 are 37, 59 and 67). Led to:

- ▶ the study of new number systems, like $\mathbb{Z}[\omega]$,
- ▶ the invention of ideal numbers (ideals) in rings, and
- ▶ an analysis of the subtleties of unique factorization (ideal class groups).

The number systems $\mathbb{Q}(\omega)$ with $\omega = \exp(2\pi i/n)$ are called **cyclotomic fields**. The powers of ω divide the circle into n equal parts.

The systematic study of the ideal class groups of cyclotomic fields is called **Iwasawa theory**.

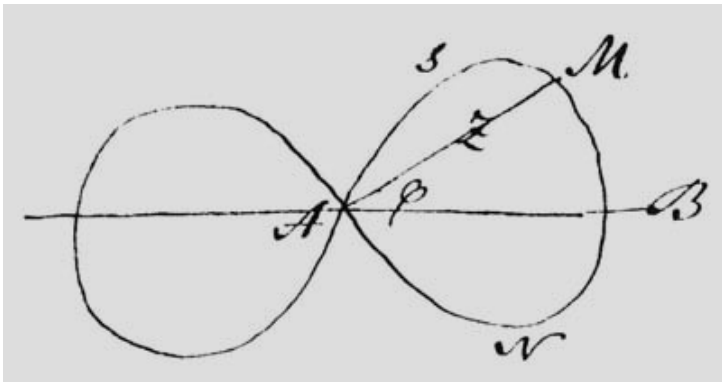
The Main Conjecture of Iwasawa Theory was proved by Barry Mazur and Andrew Wiles in 1984.



[Ralph Greenberg and] Kenkichi Iwasawa (1917–1998)

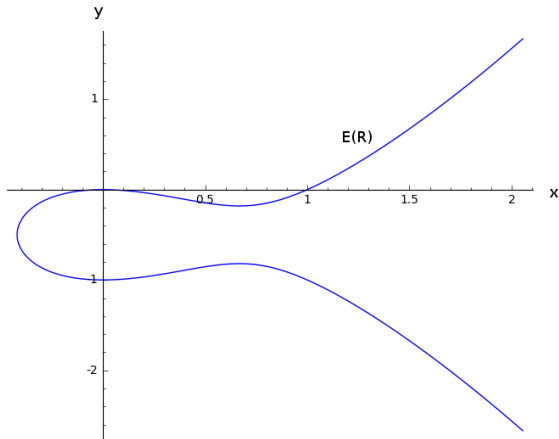
Fermat's equation

Elliptic curve

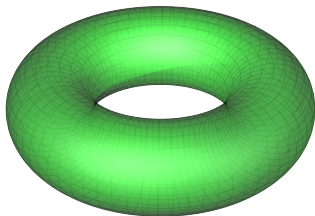


Niels Henrik Abel's drawing of a lemniscate

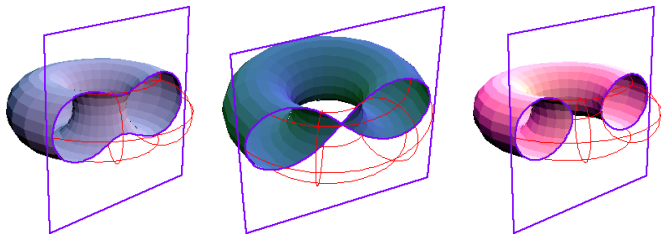
The “first **elliptic curve** in nature” is $E: y^2 + y = x^3 - x^2$.



Real solution set $E(\mathbb{R})$ with (x, y) in $\mathbb{R}^2 \subset \mathbb{P}^2(\mathbb{R})$

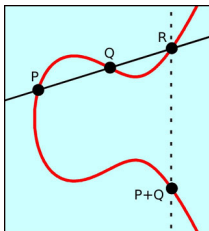


Topology of complex solution set $E(\mathbb{C})$ with (x, y) in $\mathbb{C}^2 \subset P^2(\mathbb{C})$



Cross-sections

For any field K , the solution set $E(K)$ with $(x, y) \in K^2 \subset P^2(K)$ is an abelian group. The point at infinity is the zero element.



$$P + Q + R = 0$$

This group structure is related to Niels Henrik Abel's addition theorem, e.g. for curve length on the lemniscate.

The case $K = \mathbb{Q}$ is the most interesting, but also the most difficult.

Theorem (Mordell (1922))

$E(\mathbb{Q})$ is a finitely generated abelian group.



Louis Mordell (1888–1972)

Fermat's equation

Elliptic curve

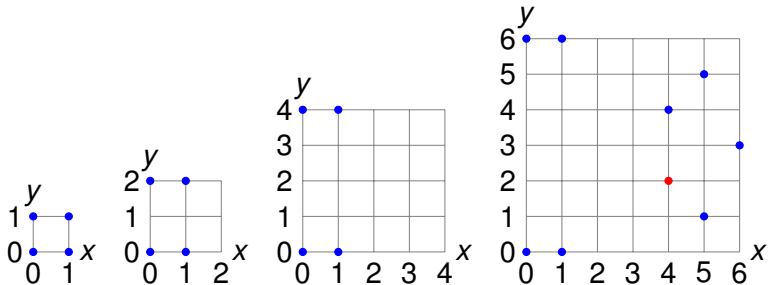


L-function

$\mathbb{F}_\ell = \mathbb{Z}/(\ell) = \{0, 1, \dots, \ell - 1\}$ is a field for each prime ℓ .
 Consider solutions (x, y) in $(\mathbb{F}_\ell)^2$ to

$$y^2 + y \equiv x^3 - x^2 \pmod{\ell}.$$

Ex.: $2^2 + 2 = 6 \equiv 48 = 4^3 - 4^2 \pmod{7}$ so $(4, 2) \in E(\mathbb{F}_7)$.



Modular solution sets $E(\mathbb{F}_\ell)$ in $\mathbb{F}_\ell^2 \subset P^2(\mathbb{F}_\ell)$ for $\ell = 2, 3, 5, 7$

A line in $P^2(\mathbb{F}_\ell)$ has ℓ points in \mathbb{F}_ℓ^2 and 1 point at ∞ . Let

$$\#E(\mathbb{F}_\ell) = \text{number of points in } E(\mathbb{F}_\ell)$$

and define the integer a_ℓ so that

$$\#E(\mathbb{F}_\ell) = \ell - a_\ell + 1.$$

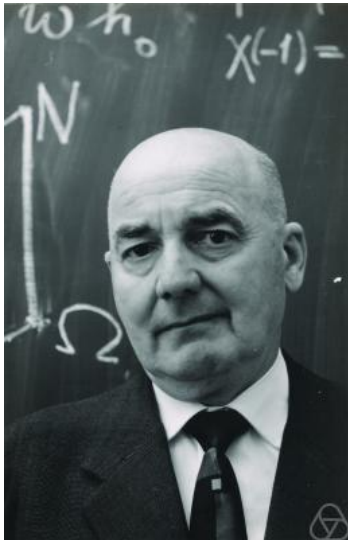
ℓ	2	3	5	7	...
$\#E(\mathbb{F}_\ell)$	5	5	5	10	...
a_ℓ	-2	-1	+1	-2	...

The numbers a_ℓ for $y^2 + y = x^3 - x^2$

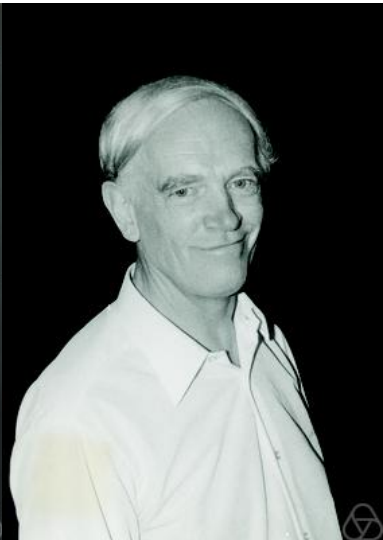
More detailed definitions specify a_n for all $n \geq 1$. The Dirichlet series

$$L(E, s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}$$

in a complex variable s is the **Hasse–Witt L -function** of E .



Helmut Hasse (1898–1979)



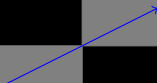
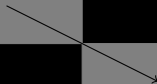
Ernst Witt (1911–1991)

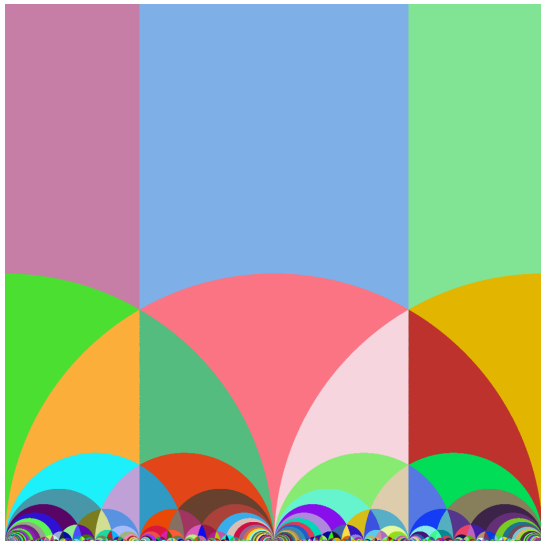
Fermat's equation

Elliptic curve

L -function

Modular form





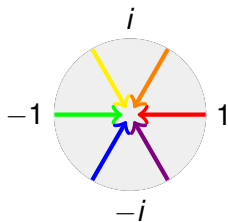
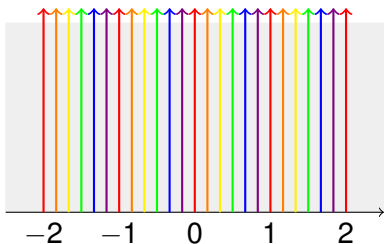
$SL_2(\mathbb{Z})$ -symmetry of the upper half-plane \mathbb{H} (by T. Womack)

A **modular form** $f(z)$ is a highly symmetric complex function

$$f: \mathbb{H} \longrightarrow \mathbb{C}$$

defined on the upper half $\mathbb{H} = \{z \in \mathbb{C} \mid \text{im}(z) > 0\}$ of the complex plane.

The exponential map $z \mapsto q = \exp(2\pi iz)$ maps the upper half-plane \mathbb{H} to the unit disc $\{q \mid |q| < 1\}$:



$$z \mapsto q = \exp(2\pi iz)$$

We can write $f(z) = F(q)$ if and only if $f(z) = f(z + 1)$.

Amazing property of the **discriminant** function

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = q - 24q^2 + 252q^3 - 1472q^4 + \dots$$

The holomorphic function $\delta(z) = \Delta(q)$, where $q = \exp(2\pi iz)$, satisfies the symmetry condition

$$\delta\left(\frac{az + b}{cz + d}\right) = (cz + d)^{12} \delta(z)$$

for all integer matrices $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ with $ad - bc = 1$.

- ▶ $\delta(z)$ is a modular form of weight 12.

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for all integer matrices $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ with $ad - bc = 1$.

- ▶ $\delta(z)$ is a modular form of **weight 12**.

The infinite product

$$F(q) = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2$$

satisfies $F(q)^{12} = \Delta(q)\Delta(q^{11})$.

The associated function $f(z) = F(q)$ satisfies

$$f\left(\frac{az + b}{cz + d}\right) = (cz + d)^2 f(z)$$

for all integer matrices $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ with $ad - bc = 1$ and $c \equiv 0 \pmod{11}$.

- ▶ $f(z)$ is a modular form of weight 2 and level 11.

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- ▶ $f(z)$ is a modular form of weight 2 and level 11.

The Fourier expansion

$$F(q) = \sum_{n=1}^{\infty} b_n q^n$$

contains the same information as the Dirichlet series

$$L(f, s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}.$$

We call $L(f, s)$ the **Mellin transform** of $f(z) = F(q)$.

Fermat's equation

Elliptic curve

Modularity

L -function

Modular form



Martin Eichler (1912–1992)

$$\begin{aligned}
 F(q) &= q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2 = \sum_{n=1}^{\infty} b_n q^n \\
 &= q - 2q^2 - q^3 + 2q^4 + q^5 + 2q^6 - 2q^7 - \dots
 \end{aligned}$$

is the “first modular form of weight 2 in nature”. Recall the table of point counts for $y^2 + y = x^3 - x$:

ℓ	2	3	5	7	...
$\#E(\mathbb{F}_\ell)$	5	5	5	10	...
a_ℓ	-2	-1	+1	-2	...

$$\begin{aligned}
 F(q) &= q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2 = \sum_{n=1}^{\infty} b_n q^n \\
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 \end{aligned}$$

is the “first modular form of weight 2 in nature”. Recall the table of point counts for $y^2 + y = x^3 - x$:

l	2	3	5	7	...
$\#E(\mathbb{F}_l)$	5	5	5	10	...
a_l	-2	-1	+1	-2	...

Theorem (Eichler (1954))

For the “first” elliptic curve $E: y^2 + y = x^3 - x^2$ and the “first” modular form $f(z) = (\Delta(z)\Delta(11z))^{1/12}$ of weight 2, the equality

$$a_\ell = b_\ell$$

holds for each prime ℓ .

- ▶ The L-functions $L(E, s) = L(f, s)$ are equal.



Yutaka Taniyama (1927–1958)



Goro Shimura

Conjecture (Taniyama (1955), Shimura)

For each elliptic curve

$$E: y^2 + \alpha_1 xy + \alpha_3 y = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6,$$

with $\alpha_1, \dots, \alpha_6 \in \mathbb{Q}$ and $\#E(\mathbb{F}_\ell) = \ell - a_\ell + 1$, there exists a modular form $f(z)$ of weight 2, with $F(q) = \sum_{n=1}^{\infty} b_n q^n$, such that

$$a_\ell = b_\ell$$

for almost every prime ℓ .

- ▶ *The L-functions $L(E, s) = L(f, s)$ are equal.*

Conjecture (Taniyama–Shimura)

Each elliptic curve defined over \mathbb{Q} is modular.

Fermat's equation

Elliptic curve

L -function

Modular form

Definition

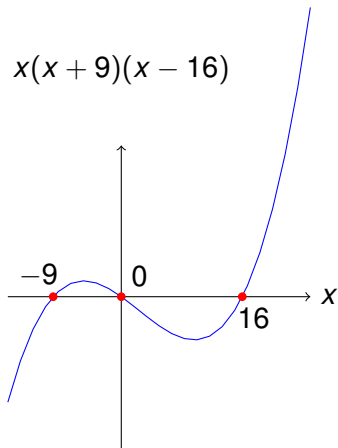
An **elliptic curve** is a smooth, projective, algebraic curve E of genus one, with a chosen point O .

- ▶ By Riemann–Roch, E is isomorphic to the projective planar curve given by a Weierstraß equation

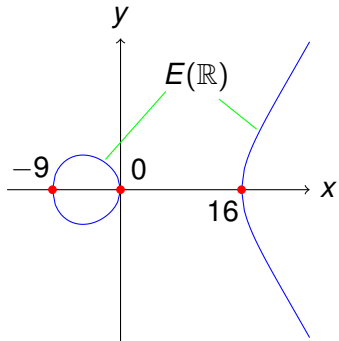
$$y^2 + \alpha_1 xy + \alpha_3 y = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6.$$

- ▶ The origin O corresponds to a single point at infinity.
- ▶ If the coefficients $\alpha_1, \dots, \alpha_6$ lie in a field K , we say that E is defined over K .

$$x(x+9)(x-16)$$



$$y^2 = x(x+9)(x-16)$$



A cubic polynomial and an elliptic curve E

If $\alpha_1 = \alpha_3 = 0$, the curve

$$y^2 = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6$$

is **smooth** if and only if the right hand side has three distinct roots, r_1 , r_2 and r_3 .

- ▶ An equivalent condition is that

$$\Delta(E) = 16(r_1 - r_2)^2(r_1 - r_3)^2(r_2 - r_3)^2$$

is nonzero.

- ▶ In general, the **discriminant** $\Delta(E)$ of E is an explicit integral polynomial in $\alpha_1, \dots, \alpha_6$.
- ▶ The Weierstraß equation defines an elliptic curve over K if and only if $\Delta(E) \neq 0$ in K .

Let E be an elliptic curve defined over \mathbb{Q} .

After a linear change of coordinates (with rational coefficients) we may assume that $\alpha_1, \dots, \alpha_6 \in \mathbb{Z}$, so that $\Delta(E) \in \mathbb{Z}$.

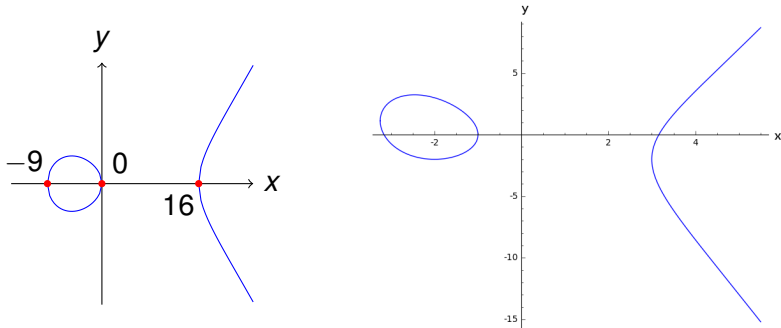
- ▶ A choice of equation

$$y^2 + \alpha_1 xy + \alpha_3 y = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6$$

with integral coefficients that minimizes $|\Delta(E)|$ will be called a **minimal equation** for E .

Example: The minimal equation for $y^2 = x(x + 9)(x - 16)$ is

$$y^2 + xy + y = x^3 + x^2 - 10x - 10.$$



Isomorphic curves, with $\Delta = 2^{12} \cdot 3^4 \cdot 5^4$ and $\Delta = 3^4 \cdot 5^4$

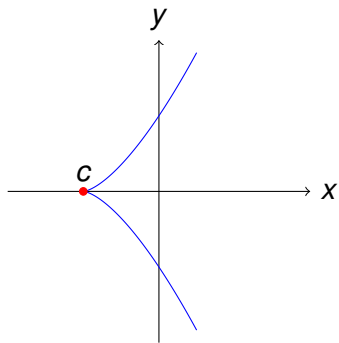
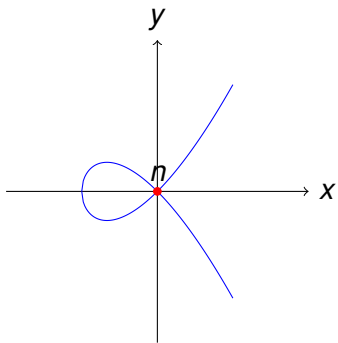
A minimal equation

$$y^2 + \alpha_1 xy + \alpha_3 y = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6$$

can be viewed as an equation in \mathbb{F}_ℓ for $(x, y) \in \mathbb{F}_\ell^2$, for any given prime ℓ .

There are three mutually exclusive cases:

- ▶ $E(\mathbb{F}_\ell)$ is **elliptic**, $\ell \nmid \Delta(E)$, and $\Delta(E) \neq 0$ in \mathbb{F}_ℓ .
- ▶ $E(\mathbb{F}_\ell)$ has a **node** n , and $E(\mathbb{F}_\ell) \setminus \{n\} \cong \mathbb{F}_\ell^\times$ is the multiplicative group.
- ▶ $E(\mathbb{F}_\ell)$ has a **cusp** c , and $E(\mathbb{F}_\ell) \setminus \{c\} \cong \mathbb{F}_\ell$ is the additive group.



Nodal and cuspidal singularities (real images)

Definition

An elliptic curve E defined over \mathbb{Q} is **semistable** if for each prime ℓ the curve $E(\mathbb{F}_\ell)$ is smooth or has a node, but does not have a cusp.

Definition

The **conductor** of a semistable curve E is the product

$$N = \prod_{\ell|\Delta(E)} \ell$$

of the primes ℓ where $E(\mathbb{F}_\ell)$ has a node.

Example: The elliptic curve

$$y^2 = x(x + 9)(x - 16)$$

has minimal equation $y^2 + xy + y = x^3 + x^2 - 10x - 10$ of discriminant $\Delta = 3^4 \cdot 5^4$. Both $E(\mathbb{F}_3)$ and $E(\mathbb{F}_5)$ have nodes, so E is semistable. Its conductor is $N = 3 \cdot 5 = 15$.

Example: The elliptic curve

$$y^2 = x(x - 9)(x + 16)$$

has minimal equation $y^2 = x^3 + x^2 - 160x + 308$ of discriminant $\Delta = 2^{12} \cdot 3^4 \cdot 5^4$. The curve $E(\mathbb{F}_2)$ has a cusp, so E is not semistable.

Fermat's equation

Elliptic curve

L -function

Modular form

Definition

A **modular form** f of weight 2 and level N is a holomorphic function defined on the upper half-plane \mathbb{H} , such that

$$f\left(\frac{az + b}{cz + d}\right) = (cz + d)^2 f(z)$$

for all $z \in \mathbb{H}$ and all integer matrices $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ with $ad - bc = 1$ and $c \equiv 0 \pmod{N}$.

- ▶ We can write $f(z) = F(q)$ for $q = \exp(2\pi iz)$, because $f(z + 1) = f(z)$.
- ▶ We require that F is holomorphic at $q = 0$, so that

$$F(q) = \sum_{n=0}^{\infty} b_n q^n.$$

Technical conditions:

A modular form $f(z) = F(q)$ of level N is

- ▶ a **cuspidal form** if $f(z) = 0$ “at the cusps”, so that $b_0 = 0$;
- ▶ a **newform** if it is not “induced up” from a modular form of smaller level M ;
- ▶ an **eigenform** if it is an eigenvector for each Hecke operator T_n for n relatively prime to N .

Most modular forms considered below will implicitly be assumed to satisfy these three conditions. They give a basis for the most relevant modular forms that are strictly of level N .

Fermat's equation

Elliptic curve

Modularity

L -function

Modular form



André Weil (1906–1998) [with Atle Selberg (1917–2007)]

Conjecture (Hasse–Weil (1967))

For each elliptic curve E defined over \mathbb{Q} , with conductor N , there exists a modular form $f(z)$ of weight 2 and level N such that

$$a_\ell = b_\ell$$

for all primes $\ell \nmid N$.

More detailed definitions specify N for all E , and a_n for all $n \geq 1$. The conjecture then asserts that $a_n = b_n$ for all n :

$$L(E, s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s} = \sum_{n=1}^{\infty} \frac{b_n}{n^s} = L(f, s) \quad F(q) = \sum_{n=1}^{\infty} b_n q^n.$$

Ob die Dinge immer, d. h. für jede über \mathbb{Q} definierte Kurve C , sich so verhalten, scheint im Moment noch problematisch zu sein und mag dem interessierten Leser als Übungsaufgabe empfohlen werden.

André Weil (January 1966)

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